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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<i>Application Number</i>	10/812,902
		<i>Filing Date</i>	March 31, 2004
		<i>First Named Inventor</i>	Craig Farr
		<i>Art Unit</i>	To be assigned
		<i>Examiner Name</i>	To be assigned
Sheet	1	of	2
		<i>Attorney Docket Number</i>	
		SSB0004	

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature	N. Luttrell	Date Considered	8/23/05
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2

of

2

## Complete If Known

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Attorney Docket Number SSB0004

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
N.J.	1	Petersen, J.E., "Innovations In Tax Exempt Instruments and Transactions", National Tax Journal, Vol. 44, No. 4, pp. 11-28, December 1991		
↑	2	Wolfe, George G. et al., "Certain legal aspects of secondary market municipal derivative products", Business Lawyer, Vol. 49, No. 4, pp. 1629-1689, August 1994		
	3	Freeman, Louis S. et al., "Tax consequences of business and investment-driven uses of derivatives", Taxes, Vol. 72, No. 12, pp. 947-994, December 1994		
	4	"Raising debt at a cost below prevailing market rates", International Tax , pp. 21-40, June 2000		
	5	Wallace, P. Woodbridge et al., "Do You Have Derivatives Lurking in Your Hybrids?", Bank Accounting & Finance, Vol. 13, No. 3, pp. 35+, Spring 2000		
N.J.	6	Ricks, Jo Lynn et al., "FSA Forgoes Conventional Wisdom in Characterizing a Remarketing Payment Under a Callable/Puttable Bond", The Tax Advisor, Vol. 33, No. 3, pp. 168-170, March 2002		

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